MOVING FORWARD
WHEN TOBACCO PREEMPTION IS IN EFFECT

TCN and PHLC Tobacco Preemption Virtual Series

July 25, 2019
Webinar Logistics

• This webinar is being recorded

• Full webinar materials will be made available via email and will be posted on the TCN and PHLC websites

• Submit discussion questions for today’s speakers through the Zoom chat feature
Today’s Agenda

• Background on from the Public Health Law Center

• State Preemption Case Study: North Carolina

• State Preemption Case Study: Oklahoma

• Moderated Q&A
TCN Mission

To improve the public’s health by providing education and state-based expertise for tobacco prevention and control at the state/territory and national levels.
TCN 2016-19 Strategic Map

Strengthen the Relevance and Sustainability of the Tobacco Control Movement

Updated & Approved 12/1/17

A. Improve the Effectiveness of S/T Tobacco Prevention and Control
   - Implement Strategies that Advance Health Equity
   - Foster Support for TCN Policy Recommendations
   - Create Opportunities for Sharing Success “How-To’s”
   - Establish a Peer-to-Peer Mentoring Model
   - Enhance Communication Channels
   - Build Cultural Competency

B. Establish a National Voice for S/T Tobacco Control Programs
   - Diversity TCN Partnerships to Advance Health Equity
   - Develop Relationships with National Partners & Stakeholders
   - Disseminate the Policy Recommendations Nationally
   - Develop a Bank of State-Specific Program Highlights
   - Represent S/T in Responding to Public Comment Requests

C. Engage Members in the Future of TCN
   - Demonstrate the Value and Contributions of TCN
   - Implement a Member Recognition Program
   - Expand Systematic Member Engagement
   - Annually Assess and Respond to Member Needs
   - Keep Pace with Changes in the Environment, New Products, Industry Practices

D. Secure Sustainable Funding
   - Increase Funding for Health Equity
   - Identify Channels to Express Support for State and Federal Funding
   - Communicate Messages about the Value & Cost Effectiveness of Tobacco Control Funding
   - Leverage Partnerships that Mobilize Members and Support Sustainability

Track 1: Engage members in the future of TCN
Track 2: Support tobacco funding with national partners
Track 3: Keep pace with environmental changes

Overarching principle: Incorporate health equity throughout the work of TCN

Strengthen the Connections Between Tobacco Control Practice and Research
Preemption:
the work continues
THE PUBLIC HEALTH LAW CENTER
WHY DO WE CARE?  
A QUICK RECAP

“[A]ll public health is local – it’s got to start and be sustained at the local level.” Howard Koh, Asst. Secretary for HHS

“While we’re not married to any particular form of pre-emption language, we’re dead serious about achieving pre-emption in all 50 states.” Tina Walls, Philip Morris

Source: grassrootschange.net
REPEAL IS IDEAL
BUT NOT ALWAYS POSSIBLE
DON’T LEAVE IT TO CHANCE
ANTI-PREEMPTION LANGUAGE

Nothing in this statute shall be construed to limit a local jurisdiction from regulating tobacco products.
WHERE THERE IS PREEMPTION
LITIGATION WILL FOLLOW
U.S. OIL V. CITY OF FOND DU LAC

City Ordinance:
Self-service display.

State Preemption:
Cigarette taxes.
Purchase by minors.
Sales to minors.
EVEN VICTORIES HAVE A COST
FOOTHILLS BREWING CONCERN, INC. V. CITY OF GREENVILLE

City Ordinance:

Amended smoke-free law.

State Preemption:

Youth access section of state code says:

“All laws, ordinances, or rules enacted pertaining to tobacco products [or alternative nicotine products] may not supersede state law or regulation.”

S.C. Code Ann. § 16-17-504(A)
MORE POSITIVE NEWS
SIGNIFICANT COSTS REMAIN
• “…[A] municipality has the right to legislate…local police powers even though there are state laws on the subject uniformly applicable to all municipalities.” *Blevins v. Hiebert*, 247 Kan. 1 (1990).

• “…[W]here an ordinance and the statute are prohibitory and the only difference is that the ordinance goes further in its prohibition…there is no conflict.” *Junction City v. Lee*, 216 Kan. 495 (1975).

• Supreme Court decision June 28, 2019.
DON’T FORGET TO LOOK FOR...

• “Preemption”
• “Supersede”
• “Shall be consistent” with state law
• “Uniform” state standard
• “Exclusive”
• “Occupy the field”

AND look EVERYWHERE!
KNOW YOUR RESOURCES
WHO CAN HELP?

UNTANGLING THE PREEMPTION DOCTRINE IN TOBACCO CONTROL

What is Preemption?
Preemption refers to the legal doctrine that establishes a general framework through which the laws of different levels of government interact. Due to the hierarchical structure of government, the laws of lower government units have to yield to higher government laws when there is conflict. Thus, federal laws can preempt conflicting state laws, and likewise, state laws can preempt the laws of lower state political subdivisions, such as counties, cities, and towns. When a law is preempted, it is invalid and has no effect. In a local tobacco control context, preemption removes decision-making authority from local governments and centralizes it in the federal and state governments.

Preemption may be express or implied. Express preemption refers to those instances in which a legislature has explicitly indicated its intention to preempt certain types of laws made by lower government units. For example, the Federal Cigarette Labeling and Advertising Act (FCLAA), contains a provision expressly preempting state governments from regulating cigarette advertising.

Implied preemption, on the other hand, arises when the legislature's intent is implicitly contained in a legislation's structure and purpose. Courts have generally recognized two types of implied preemption: (1) field preemption, where a law so thoroughly occupies a legislative field that it would be reasonable to infer that the legislature left no room for the lower government to supple-
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Preemption

a cautionary tale and

Lessons Learned

Sally Herndon, MPH
North Carolina Tobacco Prevention and Control Branch
North Carolina’s “Dirty Air Law”

In 1993, the NC General Assembly passed H957, which stated that 20% of space of government buildings should be set aside for smoking, as was practicable, and that local governments could not pass anything stronger.
Strategy

Make progress where you can...

Without closing doors on future progress.
20 years of Chipping Away at Preemption: Smoke-free Regulations in NC

• Preemptive legislation in 1993: “the dirty air law”
• Floor of House of Representatives goes smoke-free, 2003
• Floor of Senate goes smoke-free, 2005
• Entire General Assembly goes smoke-free, 2006
• State Government Buildings and Vehicles go smoke-free; local government authority expanded to government buildings and vehicles, 2007
• Smoke-free Restaurants and Bar Law, 2009
  • local government authority under G.S.130A-498 further expanded to government grounds and enclosed public places
• Legislator challenges local authority to ban smoking on government grounds and fails to win support, 2013
Chipping Away: Smoking Restrictions in Other Government Workplaces in North Carolina

**Long-term Care Facilities**
State law prohibits smoking in all long-term care facilities; including nursing homes, adult care homes, and rest homes. The law authorizes DHHS to impose fines upon facilities that fail to implement and enforce the prohibition.

The law also requires home care agencies to prohibit their employees from smoking in a patient’s home.

**Prisons**
State law prohibits any person from using tobacco products inside or on the grounds of a state correctional facility. There may be an exception for authorized religious purposes.

**UNC University System**
State law authorizes the sixteen campuses of the UNC system to prohibit smoking on their grounds—within 100 linear feet of a building:
UNC and ECU Medical Care Facilities authorized to prohibit smoking on all grounds.

**Community Colleges,**
Community Colleges may prohibit smoking and all tobacco product use in their buildings and on their grounds.

**Public Schools K-12**
State law requires local boards of education to adopt policies prohibiting the use of tobacco at all times:
• In school buildings,
• In school facilities,
• On school campuses,
• In or on any other property owned by the local school administrative unit, and
• At school-sponsored events at other locations when in the presence of students or school personnel.
Chipping Away:
NC Legislative Champions wrote these express anti-preemption clauses

North Carolina Tobacco Free Schools law:
• Nothing in this section, G.S. 143-595 through G.S. 143-601, or any other section prohibits a local board of education from adopting and enforcing a more restrictive policy on the use of tobacco in school buildings, in school facilities, on school campuses, or at school-related or school-sponsored events, and in or on other school property."

NC law that gives clear authority for Tobacco Free Community Colleges:
• Nothing in G.S. 130A-498, G.S. 143-595 through G.S. 143-601, or any other section prohibits a local community college board of trustees from adopting and enforcing a more restrictive policy on the use of tobacco in community college buildings, in community college facilities, on community college campuses, or at community college-related or community college-sponsored events, and in or on other community college property."
NC Alliance for Health:  
A Strategic Coalition

2007-2009 Priority:
Comprehensive Smokefree Workplaces Law

Primary bill sponsors could not get the votes for comprehensive law.

NC Restaurants and Lodging Association wanted a “level playing field”

Compromise was 2009 Smoke-free Restaurant and Bars Law with considerable return of local authority
NC Governor signs and Bipartisan Bill Sponsors Celebrate Passage of NC Smoke-free Restaurants and Bars Law, 2009
Local authority is granted in NC for most instances (repealing most of the preemptive language)

• "§ 130A-498. Local governments may restrict smoking in public places. ....and enforce ordinances, board of health rules, and policies restricting or prohibiting smoking that are more restrictive than State law and that apply in local government buildings, on local government grounds, in local vehicles, or in public places."
Strategy:
First: Take A Full Year to Fully and Successfully Implement the Smoke-free Restaurants and Bars Law

NO NEED FOR RESERVATIONS ABOUT NORTH CAROLINA'S SMOKE-FREE LAW

MOST NORTH CAROLINIANS DON'T SMOKE

Nearly 8 out of 10 are nonsmokers.

PUBLIC SUPPORT IS HIGH

A 2012 poll found that 83 percent of North Carolina voters support the state’s smoke-free law.

THE SMOKE-FREE LAW HASN'T HARMED NORTH CAROLINA'S HOSPITALITY INDUSTRY

A 2013 study of North Carolina's statewide smoke-free law concluded that the law had NO NEGATIVE IMPACT on the state's restaurant or bar employment.

IT PAYS TO GO SMOKE-FREE

- Lower maintenance and overhead costs.
- Higher restaurant resale value.
- Healthier workers.

THERE IS NO SAFE LEVEL OF SECONDHAND SMOKE

SECOND-HAND SMOKE CONTAINS

- More than 7,000 toxic chemicals
- More than 70 cause cancer

“By going nonsmoking, it gave us a whole new market of customers.”

Robert Nixon, Jackalope Jakes, Charlotte, NC

Learn more from North Carolina restaurant and bar owners about operating smoke-free at a new video at www.youtube.com/smokefreerestaurant.
Local Government Authority to Regulate Smoking

A local government may adopt and enforce ordinances, board of health rules, and policies restricting or prohibiting smoking that are more restrictive than state law and that apply to:

- Local Government Buildings
- Local Government Grounds
- Local Government Vehicles
- Enclosed Public Places

NCGS § 130A-498
Smoke-free and Tobacco-Free Maps and Dashboards are a key factor in success

https://tobaccopreventionandcontrol.ncdhhs.gov/maps/maps.htm
North Carolina
Counties and Municipalities Reporting 100% Smoke-Free or Tobacco-Free Government Buildings

Legend
- No Documented Protection (Written Regulation or Less than 100% Written Regulation)

Smoke Free
- Counties
- Municipalities

Tobacco Free
- Counties
- Municipalities
- NCALHD* Regions
- County Boundaries

NOTE: Information provided here is from ongoing reporting. Policies presented here are reported to the NC Tobacco Prevention and Control Branch (NC TPCB). If your government entity has a 100% smoke-free or tobacco-free policy which is not reported here, please contact NC TPCB at 919-707-5400.

*North Carolina Association of Local Health Directors
North Carolina
Counties and Municipalities Reporting 100% Smoke-Free or Tobacco-Free on Government Grounds

Legend
- No Documented Protection (Written Regulation or Less than 100% Written Regulation)
- Smoke Free
  - Counties
  - Municipalities
- Tobacco Free
  - Counties
  - Municipalities
- NCALHD* Regions
- County Boundaries

NOTE: Information provided here is from ongoing reporting. Policies presented here are reported to the NC Tobacco Prevention and Control Branch (NC TPCB). If your government entity has a 100% smoke free or tobacco free policy which is not reported here, please contact NC TPCB at 919-707-5400.
*North Carolina Association of Local Health Directors
Local Data – New County Dashboards Can Be Customized for “Peer Counties” or Geographic Regions

**NORTH CAROLINA COUNTYWIDE 100% TOBACCO-FREE OR SMOKE-FREE WRITTEN REGULATIONS**

<table>
<thead>
<tr>
<th>County</th>
<th>Local Health Dept.</th>
<th>Government Buildings</th>
<th>Government Vehicles</th>
<th>Government Grounds</th>
<th>Gov’t-Owned Parks Only</th>
<th>Recreation Areas</th>
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**TOTAL # OF POLICIES STATEWIDE**

| Category     | 80 | 51 | 14 | 22 | 26 | 2 |

**LEGEND:**
- ✓: 100% Tobacco Free Policy
- ✓: 100% Smoke Free Policy
- ×: No Written Regulation or Less than 100% Written Regulation
Wake County Uses TPCB Data Dashboards to Inspire Tobacco-Free Policy Change

**Before November 16, 2015**

<table>
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<tr>
<th>County</th>
<th>50% Tobacco-Free</th>
<th>50% Smoke-Free</th>
<th>Written Regulation</th>
<th>Total # of Places</th>
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**As of November 16, 2015**

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<th>100% Smoke-Free</th>
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<th>Total # of Places</th>
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**Legend:**
- ✓: 100% Tobacco-Free Policy
- ✓: 100% Smoke-Free Policy
- ✓: Written Regulation or Less than 100% Written Regulation
- ✓: No Written Regulation or Less than 100% Written Regulation
### North Carolina Municipality 100% Tobacco-Free or Smoke-Free Written Regulations

**Legend:**
- ☑️ 100% Tobacco Free Policy
- ☐️ 100% Smoke Free Policy
- ✗ No Written Regulation or Less than 100% Written Regulation

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</table>
Local governments can prohibit the use of e-cigarettes and smokeless tobacco

- The legislature has never passed legislation pre-empting local governments from regulating the use of e-cigarettes (or smokeless forms of tobacco), which are not lighted.
- Therefore, local governments can pass such regulations under their basic authority to pass regulations to protect the health and welfare of the community.
- If a regulation for government buildings and/or grounds covers all “tobacco products”, then our interpretation is that e-cigarettes are included within that definition.

Guidance from the UNC School of Government, http://canons.sog.unc.edu/?p=7788
# NC Counties and Municipalities that Specifically Prohibit Use of E-Cigarettes

## Counties (39)
- Alamance
- Beaufort
- Bertie
- Bladen
- Brunswick
- Cabarrus
- Catawba
- Chatham
- Chowan
- Clay
- Dare
- Duplin
- Durham
- Granville
- Guilford
- Haywood
- Henderson
- Hyde
- Iredell
- Jackson
- Lee
- Mecklenburg
- Nash
- New Hanover
- Orange
- Pasquotank
- Pender
- Person
- Pitt
- Rowan
- Rutherford
- Surry
- Transylvania
- Tyrrell
- Vance
- Wake
- Wilkes
- Wilson
- Yadkin

## Municipalities (46)
- Apex
- Asheville
- Ayden
- Bessemer City
- Bethel
- Brookford
- Burlington
- Butner
- Canton
- Catawba
- Chapel Hill
- Cherryville
- Conover
- Creedmoor
- Duck
- Dunn
- Durham
- Elon
- Graham
- Granite Falls
- Grifton
- Hayesville
- Henderson
- Kannapolis
- Kill Devil Hills
- Kittrell
- Kitty Hawk
- Lewis
- Manteo
- Mebane
- Middleburg
- Morrisville
- Nags Head
- North Wilkesboro
- Oxford
- Rolesville
- Ronda
- Roxboro
- Saluda
- Southern Shores
- Stem
- Stovall
- Waynesville
- Wilkesboro
- Wilmington
- Winterville

March Forth for Healthy Air
Smoke-Free/Tobacco-Free parks are healthier for kids, pets and wildlife.

LET’S DO IT BY MARCH 4TH, 2020!

March Forth for Healthy Air:
Tobacco use (including e-cigarettes) is dangerous indoors. Let’s make our government buildings tobacco-free by March 4th, 2020!

March Forth for Healthy Air
Making NC Smoke-Free/Tobacco-Free from the mountains to the coast.

Let’s do it by March 4th, 2020!
Resources

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“NINE QUESTIONS”
A Strategy Planning Tool
ADVOCACY INSTITUTE
WASHINGTON, D.C.
Stuck with Preemption?

Oklahoma’s 30+ Year Experience
1987 Oklahoma Smoking in Public Places Act
(§63-1-1527)

“The State Legislature by adopting this act intends to preempt any other regulation promulgated to control smoking in public places and to standardize laws that governmental subdivisions may adopt to control smoking. Cities and towns may enact and enforce laws prohibiting and penalizing conduct under provisions of this act, but the provisions of such laws shall be the same as provided in this act and the enforcement provisions under such laws shall not be more stringent than those of this act...”
1994 Oklahoma Prevention of Youth Access to Tobacco Act
(§37-600.10)

No agency or other political subdivision of the state, including, but not limited to, municipalities, counties or any agency thereof, may adopt any order, ordinance, rule or regulation concerning the sale, purchase, distribution, advertising, sampling, promotion, display, possession, licensing, or taxation of tobacco products...
# Coalition Focus

## Community Sectors
- City governments
- Schools, Colleges, Career Techs
- Businesses
- Faith organizations
- Early Childhood Centers
- Parks and recreational venues
- Hospitals and Health Systems

## Support Provided
- Education and skill building
- Consultation and sample policies
- Signage
- Demonstrated public support
- Testimonials
Why Restore Local Rights?

Local Leaders are Closest to the People
- Oklahoma is one of only two states in the nation that prohibit communities from adopting any ordinance on tobacco that’s stronger than state law.
- The national trend is to return lawmaking power to the local level. It’s time to get government back in the hands of the elected officials who are closest to the people.
- Communities need the right to address critical matters of public health and safety.
- A powerful change process unfolds as a community is allowed to consider meaningful policies founded on research and public support.

Oklahoma’s Health Ranking is 49th Out of 50 States!
- Smoking is Oklahoma’s leading cause of preventable death, costing over 6,000 Oklahoma lives and nearly $2.9 billion each year.
- Exposure to secondhand smoke causes heart disease, lung cancer and other serious illnesses among non-smokers. No Oklahoman should have to put their health at risk in order to earn a paycheck.
- In any given year, three out of five Oklahoma adult smokers make at least one serious attempt to quit.
- Most smokers become addicted as young people. If current trends continue, an estimated 87,000 children now living in Oklahoma will ultimately die prematurely from smoking.

Healthy Communities = Healthy Businesses
- Oklahoma communities need the right to improve the health of their citizens, compete for new businesses and new residents, and create a healthier workforce.
- There’s overwhelming evidence that improving the physical health of workers results in better fiscal health for employers. A healthier workforce is a vital component for continuing competitive growth.
- Reducing tobacco use among workers generates financial returns from reduced health care costs, increased on-the-job productivity, reduced life and health insurance costs, and reduced absenteeism.

Visit our Smoke Free Oklahoma website:  www.smokefreeoklahoma.com
Executive Orders as a Lever

- 2012 – Governor’s Executive Order making all state property <strong>tobacco-free</strong>
  - 2015 – State law making all schools <strong>tobacco-free</strong>
  - 2017 – State law making all state property <strong>tobacco-free</strong>

- 2013 – Governor’s Executive Order making all state property <strong>vapor-free</strong>
  - 2019 – State law making all schools <strong>vapor-free</strong>
WRECKING HAVOC ON SMOKING

SOCIAL BRANDING TO REACH YOUNG ADULT PARTIERS IN OKLAHOMA

PUBLISHED
make it your business

Health and Wellness Symposium
May 9, 2019 | 8:30am – 2:00pm
OU–Tulsa | Founders Hall
4502 E 41st St | Tulsa, OK 74135

Brain, Body, and Business
with Keynote Speaker
Gerard Clancy, M.D.

Make it Your Business aims to provide employers the resources, materials and strategies for developing healthy cultures at their organizations.

Register online at
www.tulsa-health.org/MIYB

For more information contact
918.595.4224 or
dbryant@tulsa-health.org

Tulsa Coalition for Healthy Living
Tulsa, OK

Tulsa Healthy Department
We all know....

• Local people spot issues first and they can act swiftly to address them.
• Many innovative approaches have begun at the local level and then spread to the state or federal level
• Preemption maintains health disparities and is a barrier to economic development
• We can never know what the future may hold, and preemption tries to ensure that we will **not** be prepared to act.
“If a tobacco-related law was written or influenced by a tobacco company or a tobacco company lobbyist, what do you think lawmakers should do?”

General U.S. Population (n = 1,006)
- Leave the law as it is: 31.4%
- Revise the law: 36.3%
- Remove the law and start over: 27.5%
- Not sure: 6.0%

State & Local U.S. Lawmakers (n = 436)
- Leave the law as it is: 41.3%
- Revise the law: 20.0%
- Remove the law and start over: 32.7%
- Not sure: 6.0%
Questions and Answers

Submit your questions through the chat box on your screen
PHLC Preemption Resources

- Untangling the Preemption Doctrine in Tobacco Control

- Checked at the Check-Out Counter: Preemption at the Tobacco Point-of-Sale

- Preemption: The Biggest Challenge to Tobacco Control

- Why Preemption is Bad for Tobacco Control
Visit the TCN Website!

www.tobaccocontrolnetwork.org/
On the TCN Website

• Tobacco industry marketing and sales tactics summary brief and supplementary presentation

• Coming up:
  – TCN 2019-22 Strategic Map
  – Elections for the 2019-2020 Executive Committee

• Any TCN inquiries can be directed to tcn@astho.org
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THANK YOU!